# Point and kinematic source inversion with the KiWI tools, MESS 2012

Simone Cesca, Sebastian Heimann Institut für Geophysik, Universität Hamburg

# **Outline**

What and where?

Data

GFDB

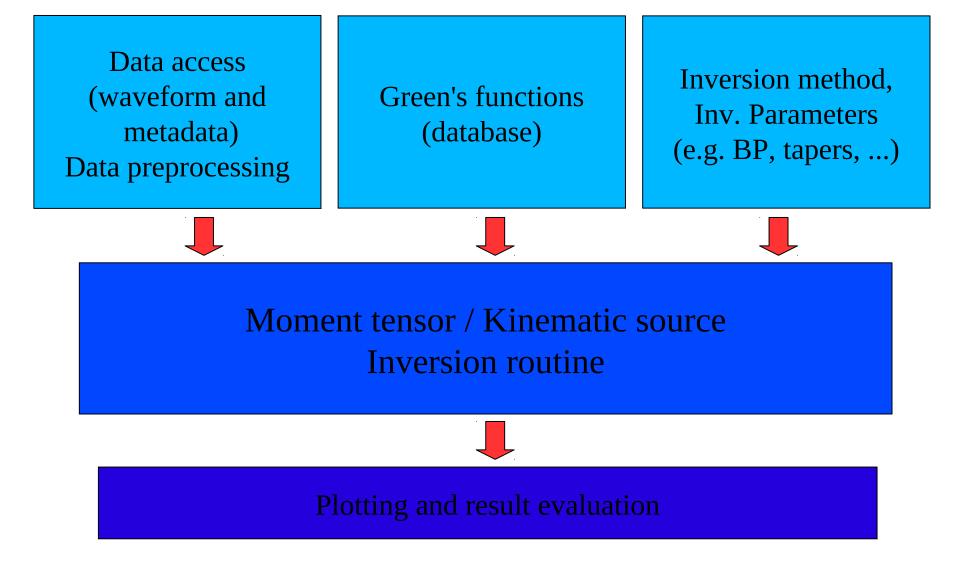
**Inversion tools** 

Kiwi tools internals

Rapidinv (1/2) Workflow Point source inversion

Rapidinv (2/2) Kinematic source inversion Fast directivity inversion

# Source inversion in seismology, what do we need?



# ... and where to find it!

What and where? local/kiwimess2012

Data RAPIDINV/DATA/<eventdir> META

data are also described in the printed documentation

GFDB

GFDB

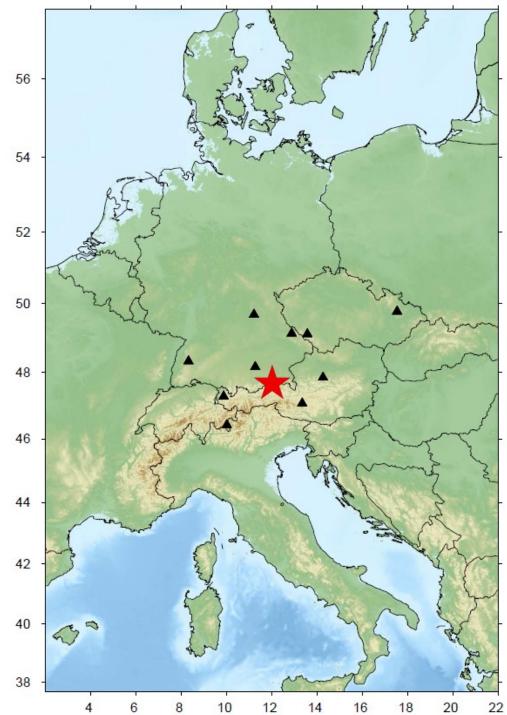
Inversion tools RAPIDINV/WORK

a rapidinv manual is also provided as printed documentation

Results

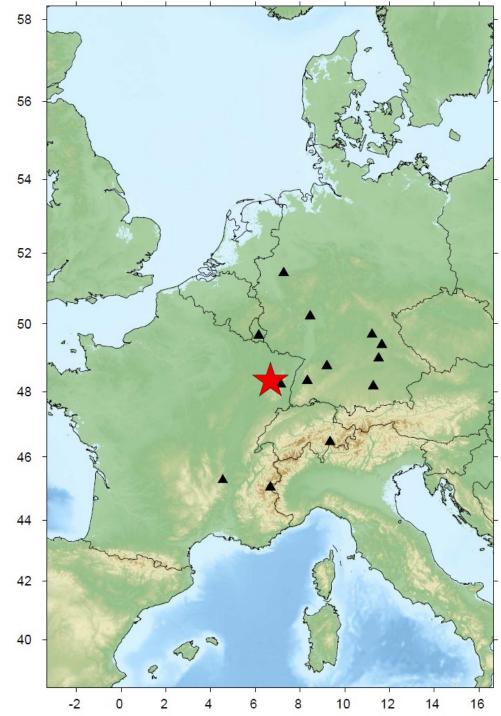
RAPIDINV/RESULTS/<eventdir>

- The 15.3.2012 Bayrischzell event
- **Realistic synthetic datasets**
- SYNTH1DC point sourceSYNTH2MT point source
- SYNTH3 Kinematic model 1
- SYNTH4 Kinematic model 2
- Synthetic data for different velocity model (Stich et al. JGR 2005)
- SYNTH5 ~SYNTH1
- SYNTH6 ~SYNTH2
- SYNTH7 ~SYNTH3
- SYNTH8 ~SYNTH4



# Real data

- Shallow events (depths 1-30km)
- Local distances (up to 200/400km)
- Regional distances (up to 1000km)
- Mw 4-7
- Old (Teutschenthal 11.9.1996)
- New (Emilia, Italy, 24.12012)
- Natural (most)
- Induced (Rotenburg, Teutschenthal)
- Europe (Italy, France, Greece, Turkey, Germany)



**General info:** 

go to RAPIDINV/DATA/<eventdir> inside you find: DISPL.XXX.BH? displacements (0.5s) station XXX spatial component ? (N, E, Z=upward) miniSEED format stations.dat station text file format = id\_stat name lat lon

General info: go to RAPIDINV/META inside you find: events.txt events\_w\_solutions.txt stations.txt info on event location/time also include rough foc.mec. info on station locations

### Looking at the waveforms:

snuffler DATA/<eventdir> --stations="META/stations.dat" --event=META/events.txt"

Select event + sort for epicentral distance

snuffler DATA/<eventdir> --stations="META/stations.dat"
--event=META/events\_w\_solutions.txt"

Check waveform fit (e.g. at different frequency ranges)

# Available GFDB

General info: go to GFDB inside you find a database (chunks + index)

Query the database (gfdb\_info, gfdb\_extract)

On the database IASP91 model Source depths: 0-40km, step 1km Epicentral distances: up to 1000k, step 1km

# Working and results directory

**General info:** 

go to RAPIDINV/WORK rapidinv.py (and mopad.py) rapidinv.defaults, rapidinv.acceptables rapidinv.inp.\*

go to RAPIDINV/RESULTS

(should be empty)

here you will have subdirectories with inversion results

# minimizer and rapidinv

Simone Cesca, Sebastian Heimann Institut für Geophysik, Universität Hamburg

# The *minimizer* KiWI tool

#### http://kinherd.org/power/trac/wiki/MinimizerTool

Synthetic seismogram generator for extended earthquake sources and misfit calculation engine

Some commands:

- set\_database dbpath [ nipx nipz ]
- set\_receivers filename
- set\_ref\_seismograms filenamebase format
- set\_source\_location latitude longitude reference-time
- set\_source\_params source-type source-params ...
- set\_source\_params\_mask mask
- set\_misfit\_method ( l2norm | l1norm | ampspec\_l2norm | ampspec\_l1norm | scalar\_product | peak )
- set\_misfit\_filter x0 y0 x1 y1 ...
- set\_misfit\_taper ireceiver x0 y0 x1 y1 ...
- minimize\_Im
- get\_global\_misfit
- output\_seismograms filenamebase fileformat (synthetics|references) (plain| tapered|filtered)

# Simply using the KiWI tool, *rapidinv12*

Rapidinv is a python script which has been developed at Hamburg University with the goal of simplifying the process of kinematic inversion using the Kiwi tools (kinherd.org).

The inversion procedure adopt the eikonal source model (Heimann et al. 2008) and a multi-step inversion strategy, as explained in Cesca et al. (2009).

The inversion is carried out in the following three main steps:

#### Step 1 Focal mechanism inversion DC/MT

This is expected to be done in the frequency domain, by fitting amplitude spectra.

Source parameters retrieved after this inversion steps are: strike, dip, rake (4 possible configurations), scalar moment, source depth.

#### Step 2 Centroid location inversion DC/MT

This is expected to be done in the time domain, by fitting displacements time traces.

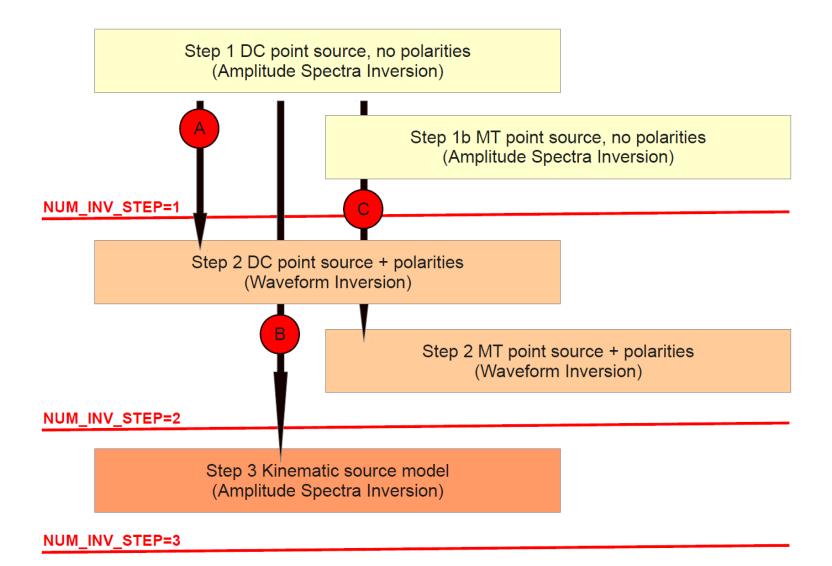
Source parameters retrieved after this inversion steps are: strike, dip, rake (2 possible configurations), centroid relative location (North, East, Time offset).

#### **Step 3 Kinematic inversion**

This can be done both in the time or frequency domain.

Source parameters retrieved after this inversion step are: strike, dip, rake (1 configuration corresponding to true fault plane orientation), radius, area, rupture velocity, nucleation point coordinates (along-strike, down-dip), rupture time, average slip.

# Simply using the KiWI tool, *rapidinv*



## Rapidinv, getting started

The following steps are required prior to the inversion:

#### The Kiwi tools

Kiwi tools do the internal job of seismogram generation, misfit calculation and inversion. The user should at first install the Kiwi package following instructions at kinherd.org.

#### Data

Data have to be processed in advance and be saved using the expected naming (e.g. DISPL.STAT.BHZ, note that this differs from internal Kinherd data file naming) and formats (currently Kiwi tools handle sac, ascii and miniSEED, but rapidinv is not accepting this last format yet). Data processing tools exist within the Kiwi tools, but the data file which are produced as output of this tools have currently a different naming. Additional short processing is thus requried to prepare data files.

A text file with station information must be saved in the data directory (for file format, see variable STAT\_INP\_FILE in the paragraph 3 of this guide).

#### Green's functions database

Green's functions have to be generated in advance (using e.g. GEMINI or QSEIS code for 1D layered Earth models). They have to be stored into a database structure.

Green's functions database handling tools exist within the Kiwi tools.

#### Moment tensor decomposition

Mopad.py (Krieger and Heimann, submitted) is used for moment tensor decomposition and plotting purposes. The code should be available.

### Rapidinv, starting...

When ready, the inversion can be prepared and executed, following these steps:

#### 1) Copy to your working directory the files:

rapidinv12.py (python script)

rapidinv.defaults (default values of inversion variables)

rapidinv.acceptables (acceptable formats of inversion variables)

#### 2) Build your specific input file, <rapidinv.inputfile>

This should have a similar format as rapidinv.defaults, but only with variables (see next paragraph) with different values than the default ones.

Empty and commented lines (starting with #) are neglected

All variables are described later and in the manual (see appendix).

#### 3) Run the python script

python rapidinv12.py <rapidinv.inputfile>

#### 4) Plot results

If the inversion was successful ("Ho finito!"), postscript and text files with results will be saved in the result directory (see variable INVERSION\_DIR in the paragraph 3 of this guide).

### Rapidinv, workflow

rapidinv.defaults is first read Specific input file is read rapidinv.acceptables is read variables assigned by default values variables updated variables format checked

Variable/values are internally structured into a python dictionary

Several minimizer input files are created Minimizer <minimizer.inp.\* >minimizer.out.\*

All steps can be checked

Is RESULTS/minimizer.inp\* RESULTS/minimizer.out\*

Results files are generated

\*dat information

\*ps plots

### Rapidinv, starting...

One example:

#### COMP\_2\_USE

Default: ned

Acceptable: string

The string should include one letter for each spatial component to consider. Letter follow the convention from kinherd.org:

- d: down
- u: up
- n: North
- s: South
- e: East
- w: West
- r: transversal, rightward as seen from source to receiver
- I: transversal, leftward as seen from source to receiver
- a: radial, away from source
- c: radial, backward to source

## Rapidinv, useful variables (general)

#### DATA\_DIR

Path to the directory containing all data and station information.

#### DATA\_FORMAT

Expected format of input displacement data (see kinherd.org for more details).

#### STAT\_INP\_FILE

Default: stations.dat

Name of the input file with list of stations to be used. This file, with the proper format, should exist and be saved in the data directory (see DATA\_DIR). Specific file format (manual).

All stations here indicated will be considered. If data are missing, station will be excluded. If data are partially missing, only existing components will be used. If data files exist, but the station name is not included in the list, data will not be used.

#### INVERSION\_DIR

Path to the directory where all output files will be saved.

#### NUM\_INV\_STEPS

Number of inversion steps to realize (1=only focal mechanism, 2= focal mechanism and centroid location, 3=full point and kinematic inversion).

### Rapidinv, useful variables (general)

#### EPIC\_DIST\_MIN, EPIC\_DIST\_MAX

Minimum and maximum epicentral distance to consider for all steps.

#### LATITUDE\_NORTH, LONGITUDE\_EAST

Original latitude and longitude (deg) of the epicenter. Further relocation will be relative to this value.

YEAR, MONTH, DAY, HOUR, MIN, SEC Event origin time.

#### SW\_FILTERNOISY

Switch to detect and remove noisy traces.

#### SW\_WEIGHT\_DIST

Apply a distance-dependent weight, w: w = station\_epicentral\_distance / maximal\_epic\_distance.

#### SW\_FULLMT

Switch to additionally run full moment tensor inversion

### Rapidinv, useful variables (step 1)

DEPTH\_1, DEPTH\_2, DEPTH\_STEP

SCAL\_MOM\_1, SCAL\_MOM\_2, SCAL\_MOM\_STEP

STRIKE\_1, STRIKE\_2, STRIKE\_STEP

DIP\_1, DIP\_2, DIP\_STEP

#### RAKE\_1, RAKE\_2, RAKE\_STEP

Min, Max, Increment of depth (km), moment (Nm) and fault angles (deg) to define starting configurations, during inversion step 1.

#### SW\_RAPIDSTEP1

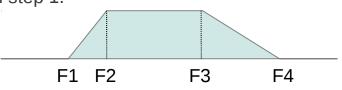
Ignore STRIKE\_1, STRIKE\_2, ... and test 10 default configurations of strike-dip-rake.

#### DEPTH\_BOTTOMLIM, DEPTH\_UPPERLIM

Minimum and maximum accepted depth (km) after inv. step 1 (all other solutions will be removed)

#### BP\_F1\_STEP1, BP\_F2\_STEP1, BP\_F3\_STEP1, BP\_F4\_STEP1

Bandpass frequency (e.g. 0.009 0.01 0.1 0.11) at inversion step 1.



## Rapidinv, useful variables (step 1)

#### INV\_MODE\_STEP1

Defines the strategy to carry out inversion step 1. The following possibilities are allowed: *invert\_dmsdst*: gradient inversion of invert strike, dip, rake, moment, depth

#### MISFIT\_MET\_STEP1

ampspec_l1nor	<b>m</b> :	amplitude spectra will be compared, using L1 norm
ampspec_l2norm:		amplitude spectra will be compared, using L2 norm
(l1norm:	time traces will be compared, using L1 norm)	
(l2norm:	time	traces will be compared, using L2 norm)

#### PHASES\_TO\_USE\_ST1

Seismic phases to be used for inv. step 1:

- *p*: P phases (on all used components, as defined in COMP\_2\_USE)
- **b**: Bodywaves (P on vertical component, S on remaining components)
- a: Full waveform (on all used components, as defined in COMP\_2\_USE)

# Rapidinv, useful variables (step 1)

#### WEIGHT\_A\_ST1, WEIGHT\_P\_ST1, ...

Defines a weight for the time window for phase *a (p, ...)* during inversion step 1.

It is used only if the phase is activated in PHASES\_TO\_USE\_ST1.

#### WIN\_LENGTH\_A\_ST1, WIN\_LENGTH\_P\_ST1, ...

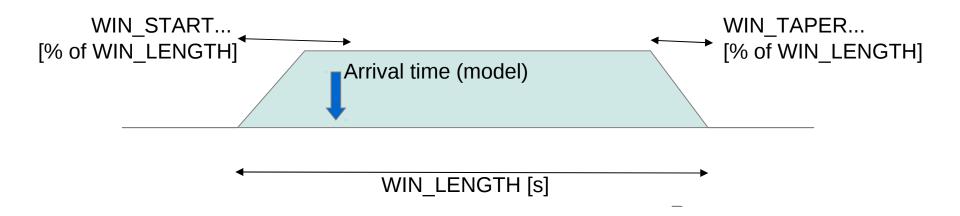
Defines the length (s) of the time window for phase *a* (*p*, ...) during inversion step 1.

#### WIN\_START\_A\_ST1, WIN\_START\_P\_ST1, ....

Defines the starting time of the time window for phase a (p, ...) during inversion step 1. The value indicates the position of theoretical first P (or S) phase within the time window, defined as percentage of the window length (e.g. 0.02 for a window length of 100s, indicates that the window will start to have the theoretical first P phase arrival time at 2% of the window length).

#### WIN\_TAPER\_A\_ST1, WIN\_TAPER\_P\_ST1, ...

Defines the tapering of the time window for phase a (p, ...) during inversion step 1. A taper is applied in the time domain, smoothing the time window at both sides. The length of the smoothed part of each side is defined by the given real value, which is defined as a percentage of the time window length



### Rapidinv, useful variables (step 1 - plotting)

#### DATA\_PLOT\_STEP1

Acceptable: amsp|seis

Define if data format for plotting result after inversion step 1 is amplitude spectra or displacements)

#### AMPL\_PLOT\_STEP1

- *amax*: amplitudes of different traces have the same scale (true amplitudes can be better seen).
- *norm*: amplitudes are normalized, they are enlarged to the maximal possible scale (fit).

#### FILT\_PLOT\_STEP1

Acceptables: plain|filtered|tapered

Defines the format of time series for plotting results after inversion step 1.

#### START\_PLOT\_STEP1, LEN\_PLOT\_STEP1, TICK\_PLOT\_STEP1

Defines the start, length and tick interval (s) of time series for plotting results after inversion step 1. These are used only if DATA\_PLOT\_STEP1 is **seis**.

### Rapidinv, useful variables (step 2)

#### EPIC\_DIST\_MAXLOC

Additional constraint on maximum epicentral distance to consider for inversion step 2 (where maximum distance will be the minimum between EPIC\_DIST\_MAX and EPIC\_DIST\_MAXLOC).

#### GFDB\_STEP2

GFDB used to calculate synthetic seismogram during inversion step 2.

#### MISFIT\_MET\_STEP2

- *l1norm*: time traces will be compared, using L1 norm
- *I2norm*: time traces will be compared, using L2 norm

#### REL\_EAST\_1, REL\_EAST\_2, REL\_EAST\_STEP

#### REL\_NORTH\_1, REL\_NORTH\_2, REL\_NORTH\_STEP

Min, max and increment of relative location along East and North direction (meters) to define the group of starting configuration, during inversion step 2.

#### REL\_TIME1, REL\_TIME\_2, REL\_TIME\_STEP

Min, max and increment of relative time offset (seconds) to define the group of starting configuration, during inversion step 2.

### Rapidinv, useful variables (step 2, similar to step 1)

#### PHASES\_TO\_USE\_ST2

#### WEIGHT\_\*\_ST2, WIN\_LENGTH\_\*\_ST2, WIN\_START\_\*\_ST2, WIN\_TAPER\_\*\_ST2

As for inversion step 1 (use of bodywaves may work better for location)

#### BP\_F1\_STEP2, BP\_F2\_STEP2, BP\_F3\_STEP2, BP\_F4\_STEP2,

Bandpass inversion step 2.

#### DATA\_PLOT\_STEP2

Acceptable: amsp|seis

Define if data format for plotting result after inversion step 2 (amplitude spectra/displacements)

#### **CHECK YOUR RESULTS**

step1.ptsolution.ps step1.mtsolution.ps step2.ptsolution.ps step2.mtsolution.ps

### Practical 1

SYNTHETIC DATA

1) Run a point source inversion (DC and full MT) for Bayrischzell synthetic event 1 (SYNTH1)

2) Test SYNTH2. Is there a significant improvement in the MT solution respect to the DC one?

3) Try now SYNTH5 and SYNTH6. Play around with the BP frequency range and check, the quality of your misfit when including higher frequencies.

#### **REAL DATA**

4) Choose a real dataset. GER220203, GER051204 and GER201004 are easier cases. Perform the point source inversion (DC and MT). Is there a significant non-DC term? Does the misfit significantly improve from DC to MT?

5) Can you resolve a better source location in inversion step2?

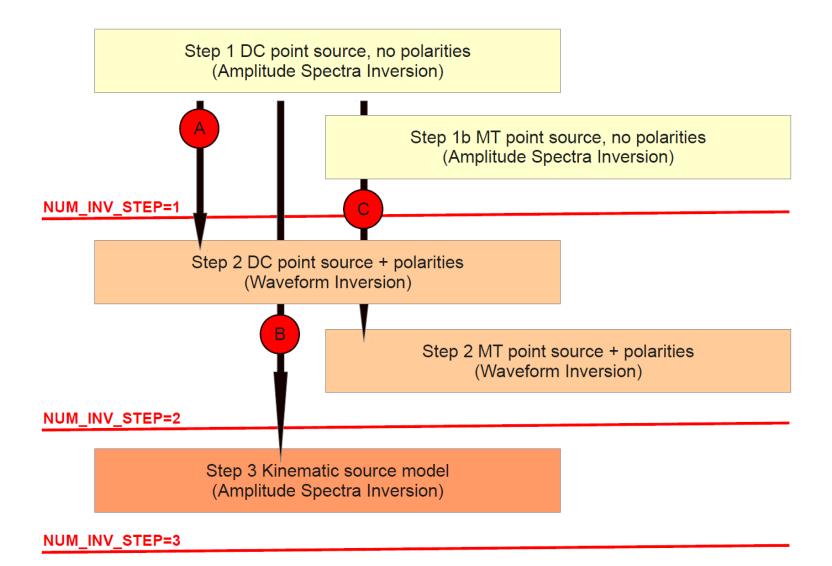
6) Use bodywave phases instead of full waveform and compare the inversion results

7) Try the inversion for some other larger events (Greece, Turkey)

# **Kinematic inversions with** *rapidinv*

Simone Cesca, Sebastian Heimann Institut für Geophysik, Universität Hamburg

# Simply using the KiWI tool, *rapidinv*



### Rapidinv, useful variables (step 3)

#### EPIC\_DIST\_MAXKIN

Additional constraint on maximum epicentral distance to consider for inversion step 3 (where maximum distance will be the minimum between EPIC\_DIST\_MAX and EPIC\_DIST\_MAXKIN).

#### GFDB\_STEP3

Defines the GFDB used to calculate synthetic seismogram during the inversion step 3.

#### MISFIT\_MET\_STEP3

ampspec_l1nor	<b>m</b> :	amplitude spectra will be compared, using L1 norm
ampspec_l2norm:		amplitude spectra will be compared, using L2 norm
l1norm:	time traces will be compared, using L1 norm	
l2norm:	time	traces will be compared, using L2 norm

#### REL\_RUPT\_VEL\_1, REL\_RUPT\_VEL\_2, REL\_RUPT\_VEL\_S

Min, max, increment of relative rupture velocity for starting configurations of inv. Step 3. Better keep it fix to e.g. 0.7

### Rapidinv, useful variables (step 3, similar to step 1 & 2)

#### PHASES\_TO\_USE\_ST3

#### WEIGHT\_\*\_ST3, WIN\_LENGTH\_\*\_ST3, WIN\_START\_\*\_ST3, WIN\_TAPER\_\*\_ST3

As for inversion step 1 (use of bodywaves may work better for location)

#### BP\_F1\_STEP3, BP\_F2\_STEP3, BP\_F3\_STEP3, BP\_F4\_STEP3,

Bandpass inversion step 2.

#### DATA\_PLOT\_STEP3

Acceptable: amsp|seis

Define if data format for plotting result after inversion step 2 (amplitude spectra/displacements)

#### SW\_APPDURATION

Activate fast directivity inversion (uses BP and taper from inversion step 3)

#### **CHECK YOUR RESULTS**

step3.eiksolution.ps

### Practical 2

#### SYNTHETIC DATA

1) Run a point source and kinematic inversion (DC and full MT) for Bayrischzell synthetic event 3 and 4 (SYNTH3, SYNTH4)

2) Can you resolve some directivity effects? Check resolution using apparent duration, are this consistent with the results of eikonal inversion?

3) Is the point source solution affected. Does this change when including high frequencies?

#### **REAL DATA**

4) Choose a real dataset. GRE080608A, GRE110406A and GRE120406A are easier cases. Perform point and kinematic inversion. Compare your solution with Global CMT solution (www.globalcmt.org).

5) Can you resolve a centroid location in inversion step2?

6) Compare eikonal and apparent duration solutions.

7) Check the misfits for all tested rupture models (step3-eiksolutions.dat). Is there a significant improvement between the best solutions for the two fault planes?

8) Try with other events.